

RISK PROFILE QUESTIONNAIRE
INTEGRATED ADVISORY



IntegratedAdvisory
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**LEADING CPA FIRMS UNDERSTAND
THE POWER OF INTEGRATION**

WE INTEGRATE THE FUTURE OF CLIENT NEEDS
INTO THE HISTORY OF CLIENT RELATIONSHIPS

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FIRST NAME

LAST NAME

PRIMARY EMAIL

WHAT IS YOUR CURRENT AGE?

☐ UNDER 30 ☐ 31 - 40 ☐ 41 - 50 ☐ 51-60 ☐ 61+

WHEN DO YOU ANTICIPATE ACCESSING THE MAJORITY OF YOUR INVESTMENT PORTFOLIO?

☐ LESS THAN 1 YEAR ☐ 1 - 3 YEARS ☐ 4 - 10 YEARS ☐ 10 - 15 YEARS ☐ 15 - 20 YEARS ☐ 20 YEARS +

WHICH OF THE FOLLOWING BEST DESCRIBES YOUR FINANCIAL SITUATION?

- ☐ NO SAVINGS AND SIGNIFICANT DEBT.
- ☐ MINIMAL SAVINGS AND SUBSTANTIAL DEBT.
- ☐ SOME SAVINGS AND SOME DEBT.
- ☐ SAVE REGULARLY AND FEW DEBTS.
- ☐ FINANCIALLY SECURE WITH VERY LITTLE OR NO DEBT.

WHAT IS YOUR CURRENT INCOME?

- ☐ \$0 - \$50,000
- ☐ \$50,001 - 75,000
- ☐ \$75,001 - \$100,000
- ☐ \$100,001 - \$150,000
- ☐ \$150,001 - \$200,000
- ☐ \$200,001 +

WHAT IS YOUR ESTIMATED NET WORTH? (The value of your assets, minus your debt)

☐ UNDER \$250,000 ☐ \$250,000 - \$500,000 ☐ \$500,001 - \$1,000,000 ☐ \$1,000,001 +

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WHAT IS THE ESTIMATED VALUE OF YOUR INVESTABLE ASSETS?

☐ UNDER \$200,000 ☐ \$200,001 - \$500,000 ☐ \$500,001 - \$750,000 ☐ \$750,001 - \$1,000,000 ☐ \$1,000,000 +

PLEASE RATE YOUR INVESTMENT KNOWLEDGE.

- ☐ LIMITED KNOWLEDGE.
- ☐ BASIC KNOWLEDGE - I HAVE A BASIC UNDERSTANDING OF THE DIFFERENCES BETWEEN STOCKS, BONDS, AND GIC'S.
- ☐ FAIR KNOWLEDGE - I AM AWARE OF INVESTMENT OPTIONS AND THE ASSOCIATED RISKS.
- ☐ CONSIDERABLE KNOWLEDGE - I HAVE AN UNDERSTANDING OF INVESTMENT STRATEGIES & PRODUCTS.
- ☐ EXTENSIVE KNOWLEDGE - I HAVE A DEEP UNDERSTANDING OF INVESTMENT STRATEGIES & PRODUCTS.

WHICH OF THE FOLLOWING PORTFOLIO'S WOULD YOU BE MOST LIKELY TO INVEST IN BASED ON A RANGE OF WORST TO BEST RETURNS IN A CALENDAR YEAR?

- ☐ PORTFOLIO A RANGE: (2%) TO 0%
- ☐ PORTFOLIO B RANGE: (3%) TO 5%
- ☐ PORTFOLIO C RANGE: (6%) TO 9%
- ☐ PORTFOLIO D RANGE: (10%) TO 12%
- ☐ PORTFOLIO E RANGE: (20%) TO 20%

DURING THE COURSE OF A YEAR, WHAT IS THE MAXIMUM DECLINE IN THE VALUE OF YOUR PORTFOLIO THAT YOU WOULD BE COMFORTABLE WITH?

☐ 0% ☐ 1% - 6% ☐ 6% - 11% ☐ 11% - 15% ☐ 15% - 21%

HOW LONG WOULD YOU BE COMFORTABLE WAITING FOR YOUR INVESTMENTS TO REGAIN VALUE, IF THEY WERE TO DECLINE?

☐ UNDER 3 MONTHS ☐ 3-6 MONTHS ☐ 6 MONTHS TO 1 YEAR ☐ 1-2 YEARS

WHICH OF THE FOLLOWING STATEMENTS BEST DESCRIBES YOUR MINDSET REGARDING THE PERFORMANCE OF YOUR INVESTMENTS OVER THE NEXT 12 MONTHS?

- ☐ I DON'T MIND IF I LOSE MONEY.
- ☐ I CAN TOLERATE A LOSS.
- ☐ I CAN TOLERATE A SMALL LOSS.
- ☐ I WOULD HAVE A HARD TIME ACCEPTING A LOSS.
- ☐ I NEED TO SEE A RETURN.

WHAT ARE YOUR GOALS WITH YOUR INVESTMENT PORTFOLIO?

- ☐ CAPITAL PRESERVATION & SECURITY.
- ☐ PROTECTION FROM INFLATION.
- ☐ SECURITY, GROWTH & SOME INFLATION PROTECTION.
- ☐ GROWTH WITH MINIMAL CONCERN FOR FLUCTUATING RETURNS.
- ☐ LONG TERM MAXIMUM GROWTH REGARDLESS OF MARKET VOLATILITY.

YOUR SCORE:

Conservative Investor - Score 0-25

You seek capital preservation and your tolerance for volatility is low. You need a predictable flow of income and/or have a relatively short time horizon.

Balanced Investor - Score 26-50

You have a moderate risk tolerance but are seeking long term capital appreciation along with a stream of regular income of the stability of fixed income investing.

Growth Investor - Score 51-75

You are willing to tolerate some market volatility, and you may be a relatively experienced investor looking for more moderate growth and diversification over time, with some income.

Aggressive Investor - Score 76-100

You are a knowledgeable investor seeking long term capital appreciation without concern for short term fluctuations, so are comfortable with equity investments.